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Section 11

CERTIFICATE OF MAILING

that this document and fee is being deposited on 8/13/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Kristin Cople  
Signature of Person Mailing Correspondence

Applicant(s): Tim Dyer, et al. Attorney Docket No.: 35013.4000  
Serial No.: 09/836,426 Group Art Unit: TBA  
Filed: April 17, 2001 Examiner: TBA  
TITLE: CHEMICAL MECHANICAL POLISHING METHOD AND APPARATUS  
FOR REMOVING MATERIAL FROM A SURFACE OF A WORKPIECE  
THAT INCLUDES LOW-K MATERIAL

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Assistant Commissioner for Patents  
Attention: Box Missing Parts  
Washington, D.C. 20231

Dear Sir/Madam:

In response to the Notice to File Missing Parts for the captioned Application, Applicant herewith submits the following:

A copy of the Notice to File Missing Parts of Application - Filing Date Granted.  
**(REQUIRED);**

Seven sheets of Formal Drawings. Each sheet of drawing indicates the identifying indicia suggested in 37 C.F.R. Section 1.84(c) on the reverse side of the drawing;

Original fully-executed Declaration for Patent Application; and

A fully-executed Assignment and Recordation Cover Sheet.

Completion of application fees as calculated below:

Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$130.00
Recordation Fee	\$ 40.00



Total completion of application fees \$130.00

Total fees due \$170.00

The fee of \$170.00 is to be paid as follows:

- X A check in the amount of the fee is enclosed.
- X The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-2814** pursuant to 37 C.F.R. 1.16 and 1.17. A duplicate copy of this sheet is enclosed.
- X If an additional extension of time is required, please consider this a petition therefore and charge any additional fees which may be required to Deposit Account No. **19-2814** pursuant to 37 C.F.R. 1.16 and 1.17.

A duplicate copy of this sheet is enclosed.

Dated: 8/13/01

  
Cynthia L. Pillote  
Reg. No. 42,999

**SNELL & WILMER L.L.P.**  
One Arizona Center  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/836.426	04/17/2001	Tim Dyer	35013.4000

## FORMALITIES LETTER



\*QC000000006175428\*

Snell & Wilmer LLP  
One Arizona Center  
400 E. Van Buren Street  
Phoenix, AZ 85004-2202

Date Mailed: 06/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

08/20/2001 SDENBOR1 00000050 09836426

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***A copy of this notice MUST be returned with the reply.***



Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]